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(s)


Linda S. Evans

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Dubin et al.	Atty. Docket:	ORT1502-CON
Serial No.:	10/661,378	Art Unit:	unknown
Filed:	12 September 2003	Examiner:	unknown
For:	DNA Encoding A Human Subunit 5HT3-C Of The 5HT3 Serotonin Receptor	Confirmation No.:	6696

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56 and in accordance with 37 C.F.R. §§ 1.97-1.98, Applicant submits the accompanying Form PTO-1449 citing references relating to the application.

This Statement is being filed under the provisions of 37 C.F.R. § 1.97(b)(3), i.e., before the mailing of a first Office Action on the merits. In the event that a first Office Action on the merits has been mailed, then this Statement is being filed under 37 C.F.R. § 1.97(c)(2) and the Commissioner is requested to charge Deposit Account No. 10-0750 for the fee set forth in 37 C.F.R. § 1.17(p).

Each reference listed on the accompanying form is in the English language.

Copies of the references listed on pages 1 through 4 of the accompanying form are not enclosed since such references were previously submitted to, or cited by, the

USPTO in Application Serial No. 09/955,524 (which published as U.S. Patent Application Publication No. US 2002/0137138) and/or application Serial No. 09/388,349 (which issued as U.S. Patent No. 6,365,370), both of which are relied on for priority purposes under 35 U.S.C. § 120. Copies of the references listed on page 5 of the accompanying form are enclosed.

The references listed on page 5 of the accompanying form were cited in a Supplementary Partial European Search Report or an International Search Report of a counterpart European application or PCT application, respectively. Copies of the Supplementary Partial European Search Report and International Search Report are enclosed herewith.

This Statement should not be construed as an admission that any information provided herewith is material as that term is defined in 37 C.F.R. § 1.56(b) or that any cited reference qualifies as prior art. This Statement should not be construed as a representation that a search has been made, or that information more material does not exist.

The Examiner is respectfully requested to initial the citations on the Form PTO-1449 to confirm consideration of each reference.

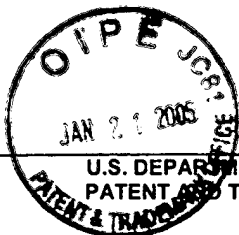
Respectfully submitted,



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Sheet 1 of 5

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(Use several sheets if necessary)

APPLICANT

Dubin et al.

FILING DATE

September 12, 2003

GROUP ART UNIT

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	INVENTORS	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY/REGION	CLASS	SUBCLASS	TRANSLATION (if applicable)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Barnard, "The Transmitter-Gated Channels: A Range Of Receptor Types And Structures," <i>Trends Pharmacol. Sci.</i> , Vol. 17, pp. 305-309 (1996).
	Belelli et al., "Cloning And Functional Expression Of A Human 5-Hydroxytryptamine Type-3A _s Receptor Subunit," <i>Mol. Pharmacol.</i> , Vol. 48, pp. 1054-1062 (1995).
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	Furutani et al., "Novel Mechanism Associated With An Inherited Cardiac Arrhythmia: Defective Protein Trafficking By The Mutant HERG (G601S) Potassium Channel", <i>Circulation</i> , Vol. 99, pp. 2290-2294 (1999).
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